

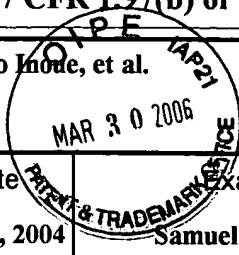
TFU 1711

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 18493	
In Re Application Of: Kazuhiko Inoue, et al.						
Application No. 10/518,859	Filing Date December 17, 2004	Examiner Samuel A. Acquah		Customer No. 23389	Group Art Unit 1711	Confirmation No. 5819
Title: <b>BIODEGRADABLE RESIN, BIODEGRABLE RESIN COMPOSITION, BIODEGRADABLE MOLDED OBJECT, AND PROCESS FOR PRODUCING BIODEGRADABLE RESIN</b>						
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
37 CFR 1.97(b)						
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
37 CFR 1.97(c)						
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);						
OR						
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).						

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
18493

In Re Application of: Kazuhiko Inoue, et al.



Application No. 10/518,859	Filing Date December 17, 2004	Examiner Samuel A. Acquah	Customer No. 23389	Group Art Unit 1711	Confirmation No. 5819
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Title:

**BIODEGRADABLE RESIN, BIODEGRABLE RESIN COMPOSITION, BIODEGRADABLE MOLDED OBJECT, AND PROCESS FOR PRODUCING BIODEGRADABLE RESIN**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date) \_\_\_\_\_ Signature \_\_\_\_\_ Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on March 28, 2006 (Date) \_\_\_\_\_ Signature of Person Mailing Correspondence Paul J. Esatto, Jr. Typed or Printed Name of Person Mailing Certificate \_\_\_\_\_

\*This certificate may only be used if paying by deposit account.

Dated: March 28, 2006

Signature  
Paul J. Esatto, Jr.  
Registration No. 30,749  
Scully, Scott, Murphy & Presser, P.C.  
400 Garden City Plaza, Suite 300  
Garden City, New York 11530  
516-742-4343

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Kazuhiko Inoue, et al.

**Examiner:** Samuel A. Acquah

**Serial No.:** 10/518,859

**Art Unit:** 1711

**Filed:** December 17, 2004

**Docket:** 18493

**For:** BIODEGRADABLE RESIN,  
BIODEGRADABLE RESIN COMPOSITION,  
BIODEGRADABLE MOLDED OBJECT,  
AND PROCESS FOR PRODUCING  
BIODEGRADABLE RESIN

**Dated:** March 28, 2006

**Confirmation No.:** 5819

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 23313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Application No. 2003-064246, dated March 5, 2003; and
2. Japanese Unexamined Patent Application No. 2002-234934, dated August 23, 2002.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 28, 2006

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

The references were cited in an Office Action dated March 1, 2006 received from the Japanese Patent Office. Applicants are submitting a copy of the above-cited references, the Office Action, and an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Further, inasmuch as this Information Disclosure Statement is also being submitted in accordance with the schedule set out in 37 C.F.R. § 1.704(d), a statement is attached.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'P. Esatto, Jr.', written over the typed name.

Paul J. Esatto, Jr.  
Registration No. 30,749

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Garden City, New York 11530  
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PJE:dg

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i> <div style="border: 1px solid black; border-radius: 50%; width: 100px; height: 100px; margin: 10px auto; display: flex; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: 8px;">PATENT &amp; TRADEMARK OFFICE</div> <div style="text-align: center;"> <div style="font-size: 24px; font-weight: bold;">85</div> <div style="font-size: 12px;">MAR 30 2006</div> </div> </div>				Docket Number (Optional) <b>18493</b>		Application Number <b>10/518,859</b>	
				Applicant(s) <b>Kazuhiko Inoue, et al.</b>			
				Filing Date <b>December 17, 2004</b>		Group Art Unit <b>1711</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		2003-064246	3/5/03	Japan				✓
		2002-234934	8/23/02	Japan				✓

OTHER DOCUMENTS		<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Kazuhiko Inoue, et al.

**Examiner:** Samuel A. Acquah

**Serial No.:** 10/518,859

**Art Unit:** 1711

**Filed:** December 17, 2004

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**For:** BIODEGRADABLE RESIN,  
BIODEGRADABLE RESIN COMPOSITION,  
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BIODEGRADABLE RESIN

**Dated:** March 28, 2006

**Confirmation No.:** 5819

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 23313-1450

**STATEMENT PURSUANT TO 37 C.F.R. §1.704(d)**

Sir:

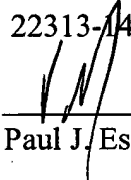
I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 28, 2006

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

Therefore, this Information Disclosure Statement cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. §1.704.

Respectfully submitted,



Paul J. Esatto, Jr.  
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